

September 2013 SAFFORD COMPLEX

Intake (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is a physical examination completed by a Medical Provider by day two of an inmate's arrival at the facility? (Alhambra, Eyman D/R, Perryville, Tucson Minors only)[P-E-04, DO 1104, HSTM, Chapter 5, Sec. 2.0, 2.1]	X			9/20/2013 12:17 PM Entered By: Troy Evans 9/9/2013 10:38 AM Entered By: Troy Evans N/A not an intake facility.	2
2	Is a mental health assessment completed by a Mental Health Practitioner by day two of an inmate's arrival at the facility? (Alhambra, Eyman D/R, Perryville, Tucson Minors only)[P-E-04,P-E-05, DO 1104, HSTM, Chapter 5, Sec. 2.0, 2.1]	X			9/20/2013 12:18 PM Entered By: Troy Evans 9/9/2013 10:39 AM Entered By: Troy Evans N/A not an intake facility.	2

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Sick Call (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is sick call being conducted five days a week Monday through Friday (excluding holidays)? [P-E-07, DO 1101, HSTM Chapter 5, Sec. 2.04.2, Chapter 7, Sec. 7.6]	X			<p>9/12/2013 8:19 AM Entered By: Troy Evans Tonto- It was noted that Tonto also had a sick call line on 09/07 as well as 09/08. That would be 7 days a week for the first week of September.</p> <p>9/11/2013 10:28 AM Entered By: Troy Evans Tonto- Sick Call 5 days a week.</p> <p>9/11/2013 10:27 AM Entered By: Troy Evans Ft. Grant- Ft. Grant continues to have sick call 7 days a week.</p>	1
2	Are sick call inmates being triaged within 24 hours(or immediately if inmate is identified with emergent medical needs)? [P-E-07, DO 1101, HSTM Chapter 5, Sec. 3.1]	X			<p>9/24/2013 2:22 PM Entered By: Troy Evans</p> <p>9/24/2013 2:21 PM Entered By: Troy Evans Ft. Grant- #Inmate Rec.08/30 seen 09/01 #Inmate Rec 09/09 seen 09/10 #Inmate Rec 09/03 seen 09/03 #Inmate Rec 09/03 seen 09/04 #Inmate Rec 08/30 seen 09/04 per inmate request to be seen Thurs. #Inmate Rec 09/09 seen 09/10 #Inmate Rec 09/01 seen 09/01 #Inmate Rec 09/01 seen 09/03 #Inmate Rec 09/12 seen 09/13 #Inmate Rec 09/08 seen 09/09</p> <p>9/12/2013 9:34 AM Entered By: Troy Evans Tonto-#Inmate Rec. HNR 08/26 seen 09/05 #Inmate Rec. 08/26 seen 08/28 #Inmate Rec. 09/05 seen 09/06 #Inmate Rec. 08/26 seen 08/28 #Inmate Rec. 08/19 seen 08/21 #Inmate Rec. 09/05 seen 09/06 #Inmate Rec. 09/05 seen 09/06</p> <p>9/12/2013 8:46 AM Entered By: Troy Evans Tonto-#Inmate Rec. HNR 09/05 seen 09/05 and referred to provider #Inmate Rec.HNR 09/04 seen 09/05 #Inmate Rec.HNR 09/04 seen 09/05</p>	1
3	Are vitals signs, to include weight, being checked and documented each time an inmate is seen during sick call? [P-E-04, HSTM Chapter 5, Section 1.3]	X			<p>9/24/2013 2:24 PM Entered By: Troy Evans Ft. Grant- 10 charts reviewed. All had a complete set of vitals except 1 #Inmate had no weight listed on the NET from 09/10.</p> <p>9/12/2013 9:36 AM Entered By: Troy Evans Tonto- 10 charts reviewed. All 10 had Vital Signs taken when seen.</p>	1
4	Is the SOAPE format being utilized in the inmate medical record for encounters? [DO 1104, HSTM Chapter 5, Section 1.3]	X			<p>9/24/2013 2:25 PM Entered By: Troy Evans Ft. Grant- 10 Charts reviewed. All utilized either a NET, or a SOAPE format.</p> <p>9/12/2013 9:37 AM Entered By: Troy Evans Tonto- Green 10 charts reviewed. 7 out of 10 had SOAPE Format utilized. The</p>	1

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					remaining three were NETS.	
5	Are referrals to providers from sick call being seen within seven (7) days? [P-E-07]	X			<p>9/23/2013 3:05 PM Entered By: Troy Evans 10 charts reviewed at both units. Compliant with this measure.</p> <p>9/23/2013 3:04 PM Entered By: Troy Evans Ft. Grant- Bank of Charts reviewed #Inmate Inmate Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate</p> <p>9/23/2013 2:59 PM Entered By: Troy Evans Tonto- #Inmate referred to provider on 09/07, seen by 09/09 #Inmate referred on 09/13, seen on 09/19 #Inmate referred on 09/13, seen on 09/16 #Inmate referred on 09/14, seen on 09/16 #Inmate referred on 09/14, seen on 09/16 #Inmate referred on 09/18, seen on 09/19 #Inmate referred on 09/02, seen on 09/14</p> <p>9/12/2013 9:41 AM Entered By: Troy Evans Tonto- #Inmate referred to provider on 09/05, seen by provider on 09/09. #Inmate referred to provider on 09/06, seen by provider on 09/09. #Inmate referred to provider on 09/06, seen by provider on 09/09.</p>	1
6	Are nursing protocols in place and utilized by the nurses for sick call?	X			<p>9/24/2013 2:29 PM Entered By: Troy Evans Tonto- 10 charts reviewed. all utilized the Nursing Protocol sheets.</p> <p>9/23/2013 3:09 PM Entered By: Troy Evans Ft. Grant- 10 charts reviewed. 7 out of 8 Nursing Line utilized a Protocol.</p>	1

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Medical Specialty Consultations (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are urgent consultations being scheduled to be seen within thirty (30) days of the consultation being initiated? [CC 2.20.2.3]	X			9/9/2013 7:43 PM Entered By: Brenda McMullen Only 2 urgent consults both scheduled within 30 days	2
2	Are consultation reports being reviewed by the provider within seven (7) days of receipt? [CC 2.20.2.3]	X			9/9/2013 7:44 PM Entered By: Brenda McMullen	2
3	Is the utilization and availability of off-site services appropriate to meet medical, dental and mental health needs? [CC 2.20.2.3]	X			9/9/2013 7:45 PM Entered By: Brenda McMullen	3
4	Are the emergent medical needs of the inmates appropriate and emergent transports ordered in a timely manner? [P-E-08, CC 2.20.2.3]	X			9/9/2013 7:45 PM Entered By: Brenda McMullen	2
5	Do all inpatient admissions have documented utilization review of admission and evidence of discharge planning? [CC 2.20.2.3]	X			9/26/2013 4:24 PM Entered By: Brenda McMullen 1 inmate admitted to hospital from Safford, returned to Tucson.	2

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Chronic Condition and Disease Management (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are treatment plans developed and documented in the medical record by a provider within thirty (30) days of identification that the inmate has a CC? [P-G-01, CC 2.20.2.4]	X			9/9/2013 7:00 PM Entered By: Brenda McMullen Safford 10 charts reviewed 10 charts in compliance. Ft. Grant 10 charts reviewed 10 charts in compliance 100% Compliance	1
2	Are CC inmates being seen by the provider (every three (3) to six (6) months) as specified in the inmate's treatment plan? [P-G-01, DO 1101, HSTM Chpt. 5, Sec. 5.1, CC 2.20.2.4]	X			9/9/2013 7:03 PM Entered By: Brenda McMullen Safford 10 charts reviewed 1 chart out of compliance #Inmate Asthma no RTC ordered 90% compliance. FT. Grant 10 charts reviewed 10 charts in compliance 100% compliance	2
3	Are CC/DM inmates being provided coaching and education about their condition / disease and is it documented in the medical record? [P-G-01, CC 2.20.2.4]	X			9/9/2013 7:04 PM Entered By: Brenda McMullen Safford 10 chart reviewed 10 charts in compliance Ft. Grant 10 charts reviewed 10 charts in compliance.	1
4	Have disease management guidelines been developed and implemented for Chronic Disease or other conditions not classified as CC? [P-G-01, HSTM Chpt. 5, Sec. 5.1, CC 2.20.2.4]	X			9/9/2013 7:06 PM Entered By: Brenda McMullen Safford 10 chart reviewed 1 chart out of compliance 90% compliant #Inmate Asthma no PEFR FT. Grant 10 chart reviewed 10 charts in compliance 100% compliant.	2
5	Has the contractor submitted his/her quarterly guideline audit results by the 15th day following the end of the reporting quarter? [CC 2.20.2.4]	X			9/12/2013 4:43 PM Entered By: Brenda McMullen 9/9/2013 7:07 PM Entered By: Brenda McMullen Not due until 10/2013	2

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Medical Records (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are medical records current, accurate and chronologically maintained with all documents filed in the designated location? [NCCHC Standard P-H-01]	X			<p>9/23/2013 3:12 PM Entered By: Troy Evans</p> <p>9/11/2013 10:36 AM Entered By: Troy Evans Ft. Grant- 10 Charts were reviewed. #Inmate Inmate Inmate Inmate Inmate Inmate Inmate #Inmate Inmate Inmate Compliant</p> <p>9/9/2013 10:46 AM Entered By: Troy Evans Charts Denoted were from Tonto Yard not Ft. Grant.</p> <p>9/9/2013 10:42 AM Entered By: Troy Evans Ft. Grant- 10 charts were reviewed. #Inmate Inmate Inmate Inmate Inmate Inmate Inmate Inmate #Inmate Inmate All were compliant.</p>	1
2	Are provider orders noted daily with time, date and name of person taking the orders off? [NCCHC Standard P-H-01; HSTM Chapter 5, Section 7.0]	X			<p>9/23/2013 3:15 PM Entered By: Troy Evans 10 charts were reviewed from each facility. All but 2 had all the orders taken off. #Inmate was from Mohave yard.</p> <p>9/11/2013 10:38 AM Entered By: Troy Evans Ft. Grant- #Inmate Provider Visit from 05/02/13 was not noted. Entry from 09/09 was Tonto not Ft. Grant.</p> <p>9/9/2013 10:44 AM Entered By: Troy Evans Ft. Grant- Same bank of charts were reviewed. All but one #Inmate was compliant with this measure. Chart #Inmate had orders from a CC visit at Mohave Yard that were never noted.</p>	1
3	Does the Medication Administration Record (MAR) in the medical chart reflect dose, route, frequency, start date and nurse's signature? [HSTM Chapter 4, Section 1.1, Chapter 5, Section 6.4]	X			<p>9/24/2013 2:30 PM Entered By: Troy Evans Tonto- Green</p> <p>9/11/2013 10:39 AM Entered By: Troy Evans Ft. Grant-Green</p>	1
4	Are medical record entries legible, and complete with time, name stamp and signature present? [HSTM Chpt. 5, Section 6.4, CC 2.20.2.5]	X			<p>9/24/2013 2:33 PM Entered By: Troy Evans 10 charts were reviewed at ech facility. Compliant with this measure.</p> <p>9/24/2013 2:32 PM Entered By: Troy Evans Tonto- Green</p> <p>9/11/2013 10:39 AM Entered By: Troy Evans Ft. Grant- Green</p>	1

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5	Are arrival logs maintained and kept current with name of inmate, ADC #, date of arrival, # of volumes?	X			9/23/2013 3:16 PM Entered By: Troy Evans Tonto- Green 9/11/2013 10:40 AM Entered By: Troy Evans Ft. Grant-Green	1
6	Are departure logs maintained and kept current with name of the inmate, ADC #, unit being transferred to, date of departure, # of volumes?	X			9/23/2013 3:16 PM Entered By: Troy Evans Tonto- Green 9/11/2013 10:40 AM Entered By: Troy Evans Ft. Grant- Green	1
7	Are previous (old) volumes filed in a separate location (not with active files) and easily accessible to obtain as needed?	X			9/23/2013 3:17 PM Entered By: Troy Evans Tonto- Green 9/11/2013 10:41 AM Entered By: Troy Evans FT. Grant- Green	1
8	Are medical records for released inmates pulled from the active file area?	X			9/23/2013 3:17 PM Entered By: Troy Evans Tonto- Green 9/11/2013 10:42 AM Entered By: Troy Evans Ft. Grant- Green	1
9	Are requested archived medical records merged with newly established medical records upon an inmates return to ADC?	X			9/23/2013 3:26 PM Entered By: Troy Evans 8 Violator Charts were pending to merge with archived records upon their arrival. The MRL at Tonto has been gone on maternity leave. The MRL from Ft. Grant has come over to help with Violator Chart requests. This will be a green this month, if next month the numbers at Tonto reflect a similar situation, it will change to an Amber. 9/23/2013 3:22 PM Entered By: Troy Evans Tonto- #Inmate Arrived Tonto on 07/25/13 #Inmate Arrived Tonto on 07/23/13 #Inmate Arrived Tonto 05/28/13 #Inmate Arrived Tonto on 07/05/13 #Inmate Arrived Tonto on 07/05/13 #Inmate Arrived Tonto on 07/22/13 #Inmate Arrived Tonto on 05/08/13 #Inmate Arrived Tonto on 06/03/13 9/11/2013 10:44 AM Entered By: Troy Evans Ft. Grant- There were no violator charts that were awaiting the archived Medical Records.	1
10	Is a Release of Information log maintained that reflects the Medical Records Requests for 3rd parties (attorney and family requests only)?	X			9/23/2013 3:27 PM Entered By: Troy Evans 9/23/2013 3:27 PM Entered By: Troy Evans Tonto- Reviewed 3rd party request book. 9/11/2013 10:45 AM Entered By: Troy Evans Ft. Grant- Green There is a binder in Medical Records for 3rd party inquiries.	1

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Prescribing Practices and Pharmacy (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are recommendations made by the Pharmacy and Therapeutics Committee appropriately enacted? [CC 2.20.2.6]	X			9/30/2013 8:13 AM Entered By: Martin Winland	2
2	Are pharmacy polices, procedures forms, (including non-formulary requests) being followed? [NCCHC Standard P-D-01, CC 2.20.2.6]	X			9/30/2013 8:14 AM Entered By: Martin Winland	2
3	Are all medications being prescribed in the therapeutic ranges as determined by the most current editions of the "Drug Facts and Comparisons" or the packet insert?	X			9/30/2013 8:14 AM Entered By: Martin Winland	1
4	When a medication error occurs, is nursing staff completing a medication error report, documenting per policy and notifying Nursing Supervisor, Facility Health Administrator, who will notify all other Program Managers and ADC On-site Monitor? [HSTM Chapter 5, Section 6.6]	X			9/30/2013 8:14 AM Entered By: Martin Winland	2
5	Are the dosages of medication being changed, increased or decreased contrary to time frames stated in appropriate clinical compendia, such as Drug Facts and Comparisons and/or the package insert, unless the need is clinically documented in the chart and a non-formulary request is approved?	X			9/30/2013 8:14 AM Entered By: Martin Winland	1

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Mental Health (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are HNRs for Mental Health services triaged within 24 hours of receipt by a qualified Mental Health Professional, to include nursing staff? [CC 2.20.2.10]	X			9/30/2013 8:48 AM Entered By: Troy Evans HNR's are Triaged by Mental Health within 24 hrs of receipt. Inmate # [redacted] HNR received on 09/08/13- inmate seen on 09/09/13	2
2	Are inmates referred to a Psychiatrist or Psychiatric Mid-level Provider seen within seven (7) days of referral? [CC 2.20.2.10]	X			9/30/2013 1:05 PM Entered By: Troy Evans There was 1 Telemed for September. It was a non-emergent request to go back on medication and it was denied. 9/30/2013 8:59 AM Entered By: Troy Evans Inmates requesting a Psychiatrist are generally evaluated by the Mental Health Provider. If he determines that an immediate Psychiatric Evaluation is necessary, the inmate is transferred to another yard. When transferred, the assumption is the inmate would be seen within the 7 day time frame. For less emergent needs, the inmate could be scheduled for a telemed conference.	2
3	Are MH treatment plans updated every 90 days for each SMI inmate, and at least every 12 months for all other MH-3 and above inmates? [CC 2.20.2.10]	X			9/30/2013 9:00 AM Entered By: Troy Evans N/A there are no SMI Inmates at this complex.	1
4	Are inmates with a mental score of MH-3 and above seen by MH staff according to policy? [CC 2.20.2.10]	X			9/30/2013 9:01 AM Entered By: Troy Evans If an inmate arrives at this complex with an MH Score of 3 or above, the Mental Health Provider will evaluate them, if he determines they are in fact an MH-3 or above, they will be transferred to another yard.	2
5	Are inmates prescribed psychotropic meds seen by a Psychiatrist or Psychiatric Mid-level Provider at a minimum of every three (3) months (90 days)?[CC 2.20.2.10]	X			9/30/2013 12:46 PM Entered By: Troy Evans Ft. Grant- Green: There are no Pdychotropic medications prescribed for MH intervention. 1. Inmate [redacted] /1-5-93/Pamelor/pain 2. Inmate [redacted] /12-18-58/Pamelor/pain 3. Inmate [redacted] /7-22-57/Neurontin/pain 4. Inmate [redacted] /6-7-70/Pamelor/pain 5. Inmate [redacted] /7-6-62/Pamelor/pain 6. Inmate [redacted] /7-3-53/Pamelor/pain 7. Inmate [redacted] /4-15-84/Neurontin/seizures 8. Inmate [redacted] /1-31-	2

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				<p>85/Tegretol/seizures</p> <p>9. Inmate [redacted] /2-24-68/Pamelor/pain</p> <p>10. Inmate [redacted] /10-5-63/Tegretol/seizures</p> <p>If you need anything further, please let me know.</p> <p>9/30/2013 12:44 PM Entered By: Troy Evans</p> <p>Tonto- Green:There are no Psychotropic Medications in use for Mental Health Applications.</p> <p>Inmate [redacted] elavil chr.pain</p> <p>Inmate [redacted] Nortriptyline chrpain</p> <p>Inmate [redacted] Pamelor chr pain</p> <p>3 on Gabapentine for chr pain</p> <p>Inmate [redacted] nortrip. chr pain</p> <p>Inmate [redacted] nortrip.chr pain</p> <p>Inmate [redacted] nortrip. chr pain</p> <p>Inmate [redacted] pamelor chr pain</p> <p>Inmate [redacted] pamelor chr pain</p>	
6	Are reentry/discharge plans established no later than 30 days prior release for all inmates with a MH score of MH-3 and above? [CC 2.20.2.10]	X		9/30/2013 9:07 AM Entered By: Troy Evans N/A there are no inmates with an MH Score of 3 or above.	2

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No Shows						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are No-Shows being brought to health unit to sign a refusal? [DO 1101, HSTM Chapter 5, Section 7.1]	X			<p>9/23/2013 3:37 PM Entered By: Troy Evans</p> <p>9/23/2013 3:36 PM Entered By: Troy Evans Ft Grant- No shows are brought up to the Health Unit to sign a refusal. In the event that they are off site, they are brought up that evening. Staff is familiar with 1101.031.7 and 1.8.</p> <p>9/12/2013 10:00 AM Entered By: Troy Evans Tonto- At Tonto, and Graham, the only way there can be a "no show" is if the inmate was sent out to work by accident. If an inmate changes his mind, they will still be brought up to Medical and sign a refusal. I reviewed the last 4 weeks charge sheets looking for blank spots on the appointment list. If there was no signature, it was documented that the inmate was either rescheduled or signed a refusal.</p>	1
2	Are unresolved No-Shows being reported to the unit deputy warden for a written response? [DO 1101]	X			<p>9/24/2013 2:36 PM Entered By: Troy Evans Ft. Grant- If a no show is unresolved it will be reported per policy. At Ft. Grant if an inmate goes out to work they are seen at night, even if to sign a refusal.</p> <p>9/12/2013 10:29 AM Entered By: Troy Evans Tonto- Green Discussed DO 1101.03/1.8 with staff. They are familiar with this policy, however no shows are not a problem at Tonto/Graham. They do not have unresolved "no shows".</p>	1
3	Are providers being notified of medication line No-Shows? [DO 1101, HSTM Chapter 5, Section 7.1]	X			<p>9/24/2013 2:39 PM Entered By: Troy Evans Ft. Grant- All DOT Medications are given every day. No shows are brought up by security.</p> <p>9/24/2013 2:38 PM Entered By: Troy Evans Tonto- There are no DOT Line no shows at Tonto. At Graham Unit they are all at the same time. If an inmate does not come up, he will be found on the yard by security.</p>	1
4	Are No-Shows being rescheduled if medically indicated? [DO 1101, HSTM Chapter 5, Section 7.1]	X			<p>9/24/2013 2:41 PM Entered By: Troy Evans Ft. Grant- No shows are seen the same day upon return to the yard.</p> <p>9/24/2013 2:40 PM Entered By: Troy Evans Tonto- If an inmate goes out to work and misses an appointment they are rescheduled. The inmate can sign a refusal at the new appointment.</p>	1

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Infection Control						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Does the facility have a written exposure control plan? [NCCHC Standard P-B-01]	X			9/9/2013 7:36 PM Entered By: Brenda McMullen	1
2	Is the health unit in compliance with NCCHC Standard P-B-01 compliance indicators?	X			9/9/2013 7:08 PM Entered By: Brenda McMullen Safford yes Ft. Grant yes	1
3	Are standard precautions used by health care practitioners? [NCCHC Standard P-B-01]	X			9/9/2013 7:08 PM Entered By: Brenda McMullen Safford yes Ft. Grant yes	1
4	Are precautionary instructions given to security when necessary (to include transportation staff)? [NCCHC Standard P-B-01]	X			9/9/2013 7:09 PM Entered By: Brenda McMullen Safford yes Ft. Grant yes	1
5	Are Sanitation workers trained in appropriate methods for handling and disposing of biohazard spills and materials? [NCCHC Standard P-B-01]	X			9/9/2013 7:09 PM Entered By: Brenda McMullen Safford yes Ft. Grant yes	1
6	Are active TB patients transported to hospitals with negative pressure rooms? [NCCHC Standard P-B-01]	X			9/9/2013 7:10 PM Entered By: Brenda McMullen	2
7	Does the facility assure that inmates released with infectious or communicable diseases are provided with community referrals and for transfer inmates, notify the receiving facility of the medical condition? [NCCHC Standard P-B-01]	X			9/9/2013 7:10 PM Entered By: Brenda McMullen	1
8	Are facilities using effective ectoparasite control procedures to treat infected inmates and to disinfect clothing and bedding? [NCCHC Standard P-B-01]	X			9/9/2013 7:10 PM Entered By: Brenda McMullen	1
9	Does the prescribed treatment given to inmates consider conditions such as pregnancy, open sores, or rashes and is ordered only by a clinician? [NCCHC Standard P-B-01]	X			9/9/2013 7:10 PM Entered By: Brenda McMullen	1
10	Does the facility complete and file all reports as required by local, state, and federal laws and regulations and reports to local health departments? [NCCHC Standard P-B-01]	X			9/9/2013 7:10 PM Entered By: Brenda McMullen	1
11	Does the facility follow a TB plan consistent with CDC guideline and conduct PPD test and	X			9/9/2013 7:10 PM Entered By: Brenda McMullen	1

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	chest x-rays per policy with annual PPD check-up? [NCCHC Standard P-B-01]					
12	Has the facility developed a needle-stick prevention program? [NCCHC Standard P-B-01]	X			9/9/2013 7:11 PM Entered By: Brenda McMullen Vanish point safety needles	1
13	Is there a designated Infection Control liaison ? [NCCHC Standard P-B-01]	X			9/9/2013 7:11 PM Entered By: Brenda McMullen	1
14	Are red bags being handled and stored appropriately? [NCCHC Standard P-B-01]	X			9/9/2013 7:11 PM Entered By: Brenda McMullen	1
15	Are dirty sharps maintained in a double locked area? [NCCHC Standard P-B-01]	X			9/9/2013 7:11 PM Entered By: Brenda McMullen	1

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Medication Administration						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is there a formal medication administration program? [NCCHC Standard P-C-05]	X			9/9/2013 7:38 PM Entered By: Brenda McMullen	1
2	Is the documentation of completed training and testing kept on file for staff who administer or deliver medications? [NCCHC Standard P-C-05; HSTM Chapter 3, Section 4.1]	X			9/9/2013 7:12 PM Entered By: Brenda McMullen	1
3	Is there a tracking system for KOP medications to determine if medications have been received by the inmate? [NCCHC Standard P-D-01]	X			9/9/2013 7:15 PM Entered By: Brenda McMullen KOP are listed on MARS and signed by nursing when issued to inmate.	1
4	Are the Medication Administration Records (MAR) being completed in accordance with standard nursing practices? [HSTM Chapter 4, Section 1.1, Chapter 5, Section 6.4]	X	X		9/26/2013 4:20 PM Entered By: Brenda McMullen Ft. Grant 10 Mars reviewed 10 Mars in compliance. Great job all start/stop dates and dx completed. 9/26/2013 10:35 AM Entered By: Brenda McMullen Safford Mars 10 Mars reviewed 8 Mars out of compliance #Inmate no start date, #Inmate no start date #Inmate IBU's no transcriber, no stop date #Inmate no start date #Inmate no start date #Inmate no year #Inmate missing start date #Inmate no start date Good job on getting DX on all MARS.	1
5	Are medication errors forwarded to the FHA to review corrective action plan?	X			9/26/2013 10:35 AM Entered By: Brenda McMullen	2
6	Are there any unreasonable delays in inmate receiving prescribed medications?	X			9/26/2013 4:22 PM Entered By: Brenda McMullen	2
7	Are inmates being required to show ID prior to being administered their medications?	X			9/9/2013 7:16 PM Entered By: Brenda McMullen Yes	2
8	Are chronic condition medication expiration dates being reviewed prior to expiration to ensure continuity of care? [NCCHC Standard P-D-01]	X			9/9/2013 7:16 PM Entered By: Brenda McMullen Yes	2

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9	Are non-formulary requests being reviewed for approval or disapproval within 24 to 48 hours?	X			9/9/2013 7:17 PM Entered By: Brenda McMullen	2
10	Are providers being notified of non-formulary decisions within 24 to 48 hours?	X			9/9/2013 7:17 PM Entered By: Brenda McMullen	2
11	Are medication error reports being completed and medication errors documented?	X			9/9/2013 7:17 PM Entered By: Brenda McMullen	2

Corrective Action Plans for Performance Measure: Medication Administration

4 Are the Medication Administration Records (MAR) being completed in accordance with standard nursing practices? [HSTM Chapter 4, Section 1.1, Chapter 5, Section 6.4]

Level 1 Amber User: Brenda McMullen Date: 9/26/2013 4:20:36 PM

Corrective Plan: Since the visit from monitor Brenda McMullen Safford has been diligently reviewing and correcting the MARS. We will continue to do so until all are corrected. We will also reinforce MAR Documentation at our next nursing meeting Oct. 22 and 23 at Ft. Grant. We are committed to 100% compliance on our Mars. Thank you.

Safford Complex
Ann Rochelle Mullen, RN FHA
10/4/2013

Corrective Actions: Approved.

4 Are the Medication Administration Records (MAR) being completed in accordance with standard nursing practices? [HSTM Chapter 4, Section 1.1, Chapter 5, Section 6.4]

Level 1 Amber User: Brenda McMullen Date: 9/26/2013 4:20:36 PM

Corrective Plan: Since the visit from monitor Brenda McMullen Safford has been diligently reviewing and correcting the MARS. We will continue to do so until all are corrected. We will also reinforce MAR Documentation at our next nursing meeting Oct. 22 and 23 at Ft. Grant. We are committed to 100% compliance on our Mars. Thank you.

Safford Complex
Ann Rochelle Mullen, RN FHA
10/4/2013

Corrective Actions: Approved by Brenda McMullen.

September 2013 SAFFORD COMPLEX

Staffing						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is there an approved staffing pattern available to the Site Manager (FHA)? [NCCHC Standard P-C-07; HSTM Chapter 3, Section 2.0]	X			9/24/2013 2:42 PM Entered By: Troy Evans Complex- Green	1
2	Are the adequacy and effectiveness of the staffing assessed by the facility's sufficient to meet the needs of the inmate population? [NCCHC Standard P-C-07; HSMT Chapter 3, Section 2.0]	X			9/24/2013 2:45 PM Entered By: Troy Evans Dental is currently short one assistant. They have recently submitted the paperwork for a new Dental Assistant. Upon approval they will be fully staffed again. Even so, Dental currently has a 2.5 month Routine Care wait, and no Emergent Back log. Nursing has been staffed effectively.	3
3	Are all positions filled per contractor staffing pattern?	X			9/24/2013 2:54 PM Entered By: Troy Evans Dental Assistant Position is pending and Safford will be fully staffed.	2
4	Is the Site Manager (FHA) kept informed of recruiting efforts being taken to fill vacant positions by the corporate office?	X			9/24/2013 2:56 PM Entered By: Troy Evans Emails are sent to the FHA when people apply online.	2
5	Do Health Services staff meet prerequisites to be able to work on site (CPR, TB clearance, Professional license, NEO, CEUs)?	X			9/24/2013 3:02 PM Entered By: Troy Evans Corizon Uses the People Site. This enables management to better monitor when staff is coming due on certifications and Licensure. All required prerequisites can be found in Personnel Files or on the People site if expiring within a time frame.	1

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Discharge Planning						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are diabetic inmates that were identified as IDDMs while in prison given training on self administration of insulin? {NCCHC Standard P-E-13}	X			9/9/2013 7:31 PM Entered By: Brenda McMullen	1
2	Is the health staff receiving discharge list from complex OIU at a minimum of 30 days out and is a release plan in place prior to inmate's actual release date?	X			9/9/2013 7:32 PM Entered By: Brenda McMullen	1
3	Are release medications for chronic conditions and mental health medications being provided to the inmate the day of release from prison?	X			9/9/2013 7:33 PM Entered By: Brenda McMullen	1
4	Is there a procedure in place for inmates with communicable diseases to be referred to community based services? [NCCHC Standard P-E-13]	X			9/9/2013 7:34 PM Entered By: Brenda McMullen	1
5	When appropriately requested, are medical records being provided to inmate authorized medical providers upon release?	X			9/9/2013 7:35 PM Entered By: Brenda McMullen	1

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Infirmiry Care						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Does policy or post order define the specific scope of medical, psychiatric, and nursing care provided in the infirmiry setting?	X			9/27/2013 5:59 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
2	Are patients always within sight or hearing of a qualified health care professional (do inmates have a method of calling the nurse?)	X			9/27/2013 5:59 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
3	Is the number of appropriate and sufficient qualified health professionals in the infirmiry determined by the number of patients, severity of illnesses and level of care required?	X			9/27/2013 5:59 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
4	Is a supervising registered nurse in the IPC 24 hours a day?	X			9/27/2013 5:59 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
5	Is the manual of nursing care procedures consistent with the state's nurse practice act and licensing requirements?	X			9/27/2013 6:00 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
6	Does admission to or discharge from infirmiry care occur only on the order of physician or other provider where permitted by virtue of credentials and scope of practice?	X			9/27/2013 6:00 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
7	Is the frequency of physician and nursing rounds in the infirmiry specified based on categories of care provided?	X			9/27/2013 6:00 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
8	Is a complete inmate health record kept and include: -Admitted order (admitting diagnosis, medications, diet, activity restrictions, required diagnostic tests, frequency of monitoring and follow-up -Complete document of care and treatment given -Medication administration record -Discharge plan and discharge notes	X			9/27/2013 6:00 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
9	If inpatient record is different than outpatient record, is a copy of the discharge summary from the infirmiry care placed in the patient's outpatient chart?	X			9/27/2013 6:00 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
10	If an observation patient is placed by a qualified health care professional for longer than 24 hours, is this order being done only by a physician?	X			9/27/2013 6:00 PM Entered By: Kathy Campbell N/A. No Infirmiry at this complex.	1
11	Are vital signs done daily when required?	X			9/27/2013 6:00 PM Entered By: Kathy Campbell	1

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					N/A. No Infirmary at this complex.	
12	Are there nursing care plans that are reviewed weekly and are signed and dated?	X			9/27/2013 6:00 PM Entered By: Kathy Campbell N/A. No Infirmary at this complex.	1
13	Are medications and supplies checked regularly, and who is assigned to do it? [NCCHC Standard P-D-03]	X			9/27/2013 6:00 PM Entered By: Kathy Campbell N/A. No Infirmary at this complex.	1

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Confidentiality of Health Records						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are health records maintained under secure conditions separate from correctional records?	X			9/24/2013 3:05 PM Entered By: Troy Evans Ft. Grant- Green 9/24/2013 3:05 PM Entered By: Troy Evans Tonto- Green	1
2	Is access to health records and health information controlled by the health authority?	X			9/24/2013 3:06 PM Entered By: Troy Evans Tonto, Ft. Grant,- Green	1
3	Is there evidence that health staff receive instruction in maintaining patient confidentiality?	X			9/24/2013 3:08 PM Entered By: Troy Evans In NEO #1 there is a class on HIPPA that is completed on the first day of employment.	1
4	Are the records transported by non-health staff sealed?	X			9/24/2013 3:09 PM Entered By: Troy Evans Tonto. Ft. Grant- Green	1
5	Are non-health staff who observe or overhear a clinical encounter instructed that they must maintain confidentiality?	X			9/24/2013 3:10 PM Entered By: Troy Evans In Both Units. Medical Officers are asked to sign a form acknowledging that Medical Information they are exposed to, is to be kept confidential.	1
6	Prior to releasing medical information to the Board of Executive Clemency, has the inmate signed an authorization to release medical information?	X			9/24/2013 3:13 PM Entered By: Troy Evans Neither unit has ever released information to the Board of Clemency. A release of Information would still have to be signed per the MRL.	1
7	Is medical information given to the Warden, Deputy Warden, Deputy Director, and Director when operationally necessary?	X			9/24/2013 3:14 PM Entered By: Troy Evans Complex-Green	1

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Medication Room						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is the medical room kept locked when not occupied?	X			9/9/2013 7:40 PM Entered By: Brenda McMullen	1
2	Are open medication vials being marked with the date they were opened?	X			9/9/2013 7:41 PM Entered By: Brenda McMullen	1
3	Is nursing staff checking for outdated (expiring)medications?	X			9/9/2013 7:39 PM Entered By: Brenda McMullen	1