

JUDGE FINCH: We will resume the hearing, Mr. McConnell.

MR. MCCONNELL: Good afternoon, Your Honor.
Our next witness is Mr. V. K. Rowe, Mr. Rowe
is the former director of Toxicological Affairs and Health and Environmental Research at Dow. He retired from Dow in 1979, but he is still active as a consultant.

Mr. Rowe was a charter member of the Society of Toxicology, and is a past president of the Society. He has, in addition, served on advisory committees for EPA, for OSHA and with the National Cancer Institute.

Mr. Rowe.
Whereupon,
V. K. ROWE
was called as a witness and, having first been duly sworn, was examined and testified as follows:

JUDGE FINCH: Are there any additions or
corrections to your statement?
THE WITNESS: Yes, I have one minor one, in the educational section there, my Master's Degree was awarced in 1938, instead of 137.

JUDGE FINCH: Well, we want to make that change then, where does it appear?

THE WITNESS: It's in my CV.
JUDGE FINCH: Oh, in the CV. NEAL R GROSS

Q And would he be one of the people that may have informed you that the careful medical. surveillance was being conducted?

A I suspect that that is the case, but I cannot be certain.

Q Do you remember what he told you, or what you were told by people in the meetings?

A Simply that these people were being followed on a periodic basis.

Q You were not informed as to the tests that were actually being conducted on these workers, were you?

A I can't testify to that on a personal basis, no.
Q Let's turn to the 'next section of your witness statement. On page 5, which is entitled "Research Subsequent to the 1964 Chloracne Outbreak".

A (Perusing documents.) Yes.
Q This section of your testimony discusses experiments conducted by a Dr. Alfered Kligman, which were initiated and funded by Dow Chemical Company, is that correct?

A That's right.
Q In these experiments varying doses of 2,3,7,8TCDD were dermally applied to the forehead and back of human subjects incarcerated at a prison at Holmesburg, Pennsylvania, is that correct?

A The test procedures were as you describe, whether incarcerated is a proper word, I don't know, I presume it is.

Q They were prisoners, is that correct?
A That's my understanding.
Q You state, at the beginning of the bottom of page 5 that you contacted Dr. Albert Kligman and then at the top of page 6 you state, "Dr. Kligman agreed to test the chloracnegenic potential, TCDD in humans, under his existing program", is that correct?

A Yes.
Q Would it be fair to say that you were the Dow representative who initiated contact with Dr. Kligman, and requested that he conduct experiments in which human subjects would be dermally exposed to TCDD?

A Yes.
Q Now, Dr. Kligman conducted two separate sets of tests in which he applied $T C D D$ to the skin of these human subjects, is that correct?

A You are talking about two different tests?
Q Two different sets of experiments.
A Well, there was one experiment to start with and then there was a subsequent experiment that he conducted, yes.

Q Did you not design the protocol for the first
set of tests conducted by Dr. Kligman in which the researchers applied a range of doses of TCDD to the backs and the foreheads of 60 human subjects?

A Yes.
Q Was there a different protocol for the second series of tests which Dr. Kligman conducted?

A Well, not to my knowledge, that was his protocol.
I did not know that this second experiment was to be done the way it was done.

Q On page 8 -- let's turn to page 8.
A. (Perusing documents.) Yes.

Q In the second full paragraph on that page, near the bottom of that page, at the bottom of that paragraph, you state, "Accordingly, I indicated to Dr. Kligman that Dow would fund a continuation of his studies" and then you go on to say, "In January of 1968 , I was surprised to receive a letter from Dr. Kligman reporting new results".

Could you explain to us what you mean by

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"surprised"?
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A. Yes. As much of the first protocol had yielded absolutely negative results, we did agree, at his request, to fund a continuation, but I assumed it would be following the sane progression that I had outlined in the first instance. Unfortunately., that was never confirmed in writing. And the next I heard from it was that the results
that he reported to me.
Now, each of these steps takes a considerable period of time. If you will look at the protocol, because I was very concerned that we approach this very cautiously. And raise the dosage in increments so as not to exceed a. level which would produce a threshold response.

The reason for that was that in our studies on animals we had determined that concentrations of chloracnegens which produced an effect in humans, essentially always produced an effect in the animals.

And if the animal work was not positive, we never had a material that cause injury in humans.

Now, so what we wañted to do and what we felt we should do was to attempt to determine the relationship between the sensitivity of the rabbit's ear to that in humans. After we had identified the material had quantifitive -- it measured quantitatively, we determined that a certain dosage level was the minimum required to produce an effect on the rabbit's ears.

But our evidence from practical experience had indicated that the human was much more resistant, but we didn't know how much more resistant. And we were very concerned about what the margins of safety would be.

So, therefore, the purpose of this study was to incrementally increase the cosage, so that we would be able NFAL R GROSS
to find out what that figure was.
Q You indicated to Dr. Kligman that Dow would continue its funding of the studies, is that correct?

A That's right.
Q And yet you assumed -- you said that you assumed that he would continue to follow the protocol that you had given him, is that correct?

A Yes.
Q Between the time you received the results of Dr. Kligman's first series of tests, in May and June of 1966; and the time that you received his letter in which he stated he had conducted a second set of tests, did you have no contact with Dr. Kligman concerning this second series of tests?

A I had none.
Q You mean you had said that Dow would continue to fund this study, and yet you did not bother to even contact Dr. Kligman to see what he was doing.

MR. MCCONNELL: Your Honor, I think that question may be a little argumentative.

JUDGE FINCH: I think it is, too. He said he did not.

You can answer the question did you have any contact between the time you got the results?

THE WITNESS: If I did, I have no knowledge of NEAI. R. GROSS
it. I don't believe I did. EY MR, GORDON:

Q Does Dow normally fund studies and then not pay attention to what is being done with the money it grants?

A Well, it depends on what the situation is, this was a contract with the university and with a professional dermatologist who had conducted the first series of protocofs, he knew what my philosophy, with respect to testing was. And it takes so much time between tests, that if you proceed according to the protocol, that I had designed, that $I$ didn't feel it was necessary, and I didn't ask him about it.

As I said, it was a total surprise when the report came as it did.

Q Well, you say that Dow and yourself were concerned with the margins of safety, what was the highest dose level given -- applied to the skins of the prisoners in the first set of tests?

I believe you can find the answer to that on page 8 , in Table 1.

A Yes, that's right, the total dose that was given was 16 microgram/kg -- per person,

Q What was the total dose given in the second set -- second series of testṣ that Dr. Kligman had conducted, in which Dow funded?

A $\quad 7500$ micrograms.
2 So, Dr. Kligman went from 16 micrograms to
7500 micrograms, is that correct?
A. That's what he says he did.

Q So, he increased the dosage somewhere in the
neighborhood of 5,000 orders of magnitude?
A No, it would be closer to 40 to 50,45 perhaps, something like that, wouldn't it?

Excuse me, I will make a calculation.
JUDGE FINCH: That's all right, wait until you get another question, unless you want him to.

BY MR. GORDON :
Q Would you work that out for us, please?
A Yes. You are closer to right, it's about 470.
MR. MCCONNELL: Your Honor, if we might have a clarification on the question, was that phrased in terms of the magnification of the dose, or the order of magnitude of difference?

MR. GORDON: Magnification, I'm sorry, I used the wrong terminology.

THE WITNESS: It's the difference between 16 and 7500, and if you divide 7500 by 16 , you come out close to 470.

BY MR, GORDON:
Q Well, when you wrote the protocol for the first NEAL R. GROSS
series of tests, you increased the dosage for each group at what you would term a conservative amount, is that correct?

A That's right.
Q Would you call the increase that Dr. Kligman
conducted in the second of tests a conservative increase?
A No, sir, I wouldn't.
Q Did you -- had Dow ever funded studies by Dr. Kligman previous to the ones that are discussed in your testimony?

A I can't answer that, I don't remember doing any of it myself, but Dow Chemical Company is a very large corporation and it could have been done by the medical department, or somebody, and I might not have known about it. Not to my knowledge.

Q So, to your knowledge, Dow had no prior experience with overseeing Dr. Kligman's studies, is that correct?

A I believe that is correct.
Q So, upon what basis did you determine that it was not important to oversee the second series of tests which he was going to conduct?

A I guess only that he was a professor of dermatology at the University of Pennsylvania, and we had reasonable confidence that he would proceed in a manner consistent with our original protocol.

## UNITED STATES OF AMERICA

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BEFORE THE
ENVIRONMENTAL PROTECTION AGENCY

In the Matter of the Hearing of: :
2,4,5-T and SILVEX : Docket Nos. 415, et al
The Dow Chemical Company, et al :

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(This volume contains pages 17066 through 17238)
Room 2409
Environmental Protection Agency
$\quad$ Headquarters
401 M Street, Southwest
Washington, D. C.
Friday. November 14,1980
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The hearing was convened pursuant to adjournment, at 9:05 a.m., before Administrative Law Judge Edward B. Finch, when were present the following: ON BEHALF OF THE ENVIRONMENTAL PROTECTION AGENCY:

DOROTHY PATTON, ESQ. Office of General Counsel
KARL O. BAYER, ESQ. Office of General Counsel
ANDREW G. GOPDON, ESQ. Office of General Counsel

Q Was Dow not interested at all in seeing those results?

A We accepted the statements that he and his internist made.

Q Does Dow normally, when they contract out to outside experimenters, do they normally not bother to acquire the results of the test that the experimenter performed?

A It generally depends on the -- and we, incidentally contract out very little work -- but it depends on what the purpose of that work is, where it is going and what the ultimate end is to be. Monitoring of laboratory work by consulting laboratories, in the time when this was done, was not done to the extent that it was done under the present GLPs, where every data point has to be monitored.

In those days we usually took what we considered
to be competent people and expected them to conduct their studies in the normal course of their investigations. And Dr. Kligman was a professor of dermatology, he is an M.D., he did lots and lots of skin work in those days. And these are his results, we did not question his reporting.

Q In the second series of tests which is discussed in Dr. Kligman's January 23 rd letter, he reports that eight of the 10 subjects developed chloracne. Did not Dow want NEAL R. GROSS
to see the clinical tests that were conducted on these Eight subjects who did develop chloracne?

A I guess we really dian't think that it was necessary to see them.

Q Why did you think it was not necessary to see the results of these clinical tests which were conducted on eight human subjects which had developed chloracne?

A Well, in retrospect $I$ will say it would have been nice to have seen them. But in those days we took their words that they had -- we had seen lots of chloracne, it wasn't a new phenomenon to us.

Q So for these human beings you are saying in retrospect, it would have been nice to see the results of these clinical tests?

A I think so, from a curiousity point of view.
Q Just for curiousity's sake? You were not interested in the health of these eight human beings?

A Well, of course we were --
Q Then why did you not ask to see the clinical results of the tests.

MR. MCCONNELL: I don't believe Mr. Rowe had finished his answer there, if $I$ am wrong, I will apologize. But it sounded to me like he was going to say something . more.

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follow-up on the health status of these three individuals?
A I do not.
Q. Do yourknow whether Dow or Dr. Kligman has ever conducted a follow-up survey of the health status of the human subjects exposed to TCDD in Dr: Kligman's tests?

A Not. to my knowledge, I do not know that he has, no. I have no knowledge of that.

Q Do you know whether Dow has conducted such a follow-up study?

A Dow has not.
Q Dow has not?
A Right.

- MR. GORDON: Could I have just one moment, Your Honor?

JUDGE FINCH: Sure.
MR. GORDON: I am going to provide the witness, and Counsel with Exhibit No. 15, entitled; "Results of the Two-Year Chronic Toxicity and Oncogenicity Study on 2, 3, 7, $8^{-}$ Tetrachlorodibenzo-p-dioxin, TCDD in Rats" by Kociba, et al.

MR. McCONNELL: Did you say Exhibit 15 or Exhibit 13? I believe this is Exhibit 13.

MR. GORDON: Oh, I meant to say 13 , yes.
BY MR. GORDON:
Q Are you familiar with this document, Mr. Rowe? NEAL R. GROSS

A I am generally familiar with it, not in detail because I am not a pathologist and I certainly don't intend to get into pathology.

Q Let's look at the abstract on the first page, in the seventh line from the top, does it not state that "Ingestion of $0.1 \frac{u^{g}}{m} / \mathrm{kg} /$ day caused an increased incidence of hepatocellular carcinomas and squamous cell carcinomas of the lung, heart, palite, nasal turbinates, or tongue, whereas a reduced incidence of the pituitary, uterus, mammary glands, pancreas and adrenal gland was noted"?

A Yes.
Q After you became aware of the oncogenic effects of 'TCDD reported in the Kocib̀ Study, did you or Dow consider whether the human subjects you had exposed to TCDD had developed cancers in the years subsequent to the conduct of the study?

A We have not followed up on that.
Q Did you consider whether the human subjects had developed cancers from the study in 1966?

A I don't remember entering into any discussions on that subject.

Q Well, you had entered into no discussions as to whether these human subjects had developed cancer, but had you considered that they might have developed cancer on your own?

Albert M. Kligman, M.D., Ph.D.
Department-of Dermatology
Hospital of the Uriversity of Panneylvania
36 in and Spruce Streets
Philadelphia 4, Pennsylvania

## Dear Dr. Kligman:

I am sending you under separave cover a smill anount of 2,3,T,8-tetrachlorocibenzo-p-dicxth. This 1s the material which is a potent acnegen anc i! indo.iy soxie. I have checked back on our figures and find that the single dose oral $L D_{50}$ for rabbits is in the neighboinoud of 100 microgra:as/kylugran, and we had one datmil die rhich had recelved a sinale dose of 16 microerumsicilugram. It is safe to say, however, that doses of 0.5 50 $5.0 \mathrm{mg} / \mathrm{kg}$ are alwys facal, although deaths may be delayed t'or 10 to a clays post treatment. The typical clinical pisiure is severe liver and kidney injury.

In regard to the skin responee on rabbits, wie have attempted to quantitate this by acplyinit o.i … of tess solution to one to two square 1 nathes of the curface of the inne: fice of the rabbit ear. K'le find that when she zoval do:cc coes not exceed abcut 0.2 of a mierogran sh the denesen, no follicular pronitence or epishelidi hypersion develop. *hen the total dose 15 adout $C . \equiv$ oi a winozam on this area, the resporise is marginal; 1 to 2 aburcgrans almosi alyays produce: a response, and 4 to 0 :alcrowrans usually produce a severe response. 豸e havc not aこ yot been able to quantitate the dose required to cause 5CF rooribllty from skin exposure, but we are sure it is well above the cutal dosisges noted above.

In view of this informaiiun, it cons not seem probable that the dosagee shown in the aceomparying sujzecited protocol for the iaman work would be likely $=0$ :orettitute anj serlous systemic hazard decause the dise on a per istlogram basis would be far below that :ifich produces any significant effect eysterically in the rabbit. I plouit add inat the rabblt $: s$ far more sensitive than the rat to thiz yo?s of compound. Mevertheless, the seriousness of the consequences that might develop frois testins with this type of compound require that wie approach the mister in a highly conservative manner. It
is with this thought in mind that I have developed the attached protocol．The number of persons per experiment is your decision；I would sugzest two as a starter．When applications are repeated，I would lite to have them．made on consecutive cays，if it is convenient to do so．Although the inme required to conduct these experiments will require several months，I belleve it is the safe way to proceed， using a few people at a tine with careftul observations on each．The observations are to be made at your discretion， but I would urge routine SGOT＇s and alkaline phosphatases as a miniwum．

There is another item upon whith comment should be made．I have indicated in the suggesued protoscl that a two week ob－ servailon perlod should be usau prior to siariong the nest series of experimente．This i s because our experience with both animals and man irdicates that there is an induction period．In a few instaness，we delleve an eruption in the humar has developed four io $!$ ix beeks post exposure．Also， we have had a few sertuus tilire－t：ps which have developed within a matter of daye，poije exposure．I haje compromised on a tho－week ubservetion peniod，but of course，any treated individual should be wieched for at least two montis post test．

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eincerely yours，

V．K．Rowe
Blocherical Research Laboratory 1701 Building

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